

**Heterozygous *STAT1* gain-of-function mutations underlie
an unexpectedly broad clinical phenotype:
an international survey of 274 patients from 167 kindreds**

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Key points:

- Autosomal dominant *STAT1* GOF is the most common genetic cause of inherited CMC and is not restricted to a specific age or ethnic group.
- *STAT1* GOF underlies a variety of infectious and autoimmune features, as well as carcinomas and aneurysms associated with a poor outcome.

Abstract

Since their discovery in patients with autosomal dominant (AD) chronic mucocutaneous candidiasis (CMC) in 2011, heterozygous *STAT1* gain-of-function (GOF) mutations have increasingly been identified worldwide. The clinical spectrum associated with them needed to be delineated. We enrolled 274 patients from 167 kindreds originating from 40 countries from five continents. Demographic data, clinical features, immunological parameters, treatment, and outcome were recorded. The median age of the 274 patients was 22 years (range: 1 – 71 years); 98% of them had CMC, with a median age at onset of one year (range: 0 – 24 years). Patients often displayed bacterial (74%) infections, mostly due to *Staphylococcus aureus* (36%), including the respiratory tract and the skin in 47% and 28% of patients, respectively, and viral (38%) infections, mostly due to *Herpesviridae* (83%) and affecting the skin in 32% of patients. Invasive fungal infections (10%), mostly caused by *Candida* spp. (29%), and mycobacterial disease (6%) caused by *Mycobacterium tuberculosis*, environmental mycobacteria, or BCG vaccines, were less common. Many patients had autoimmune manifestations (37%), including hypothyroidism (22%), type 1 diabetes (4%), blood cytopenia (4%), and systemic lupus erythematosus (2%). Invasive infections (25%), cerebral aneurysms (6%), and cancers (6%) were the strongest predictors of poor outcome. CMC persisted in 39% of the 202 patients receiving prolonged antifungal treatment. Circulating IL-17A-producing T-cell count was low for most (82%) but not all of the patients tested. *STAT1* GOF mutations underlie AD CMC, as well as an unexpectedly wide range of other clinical features, including not only a variety of infectious and autoimmune diseases, but also cerebral aneurysms and carcinomas that confer a poor prognosis.

Introduction

Chronic mucocutaneous candidiasis (CMC), characterized by persistent or recurrent infections of the nails, skin, oral or genital mucosae with *Candida* spp, mainly *C. albicans*, may result from primary immunodeficiencies (PIDs).¹ In patients with T-cell deficiencies, CMC is associated with various infections and autoimmune diseases.¹ CMC is one of the most common infections in patients with autosomal dominant (AD) hyper-IgE syndrome (AD-HIES)² and the only infectious complication reported in patients with autosomal recessive (AR) autoimmune polyendocrine syndrome type I (APS-I).³ By contrast, since the late 1960s, patients have been reported who display an apparently inherited form of isolated CMC and no distinctive associated clinical or immunological phenotype (CMC disease, CMCD).¹ However, invasive candidiasis, peripheral dermatophytosis, bacterial infections of the skin, and respiratory tract have occasionally been reported in some CMCD patients.^{1,4} Autoimmune diseases, typically affecting the thyroid, have also been described in these patients.¹ CMCD can be life-threatening as it is associated with an unexplained increase in the risk for squamous cell carcinoma^{1,5} and cerebral aneurysm.^{1,6} A number of the CMCD cases reported belonged to multiplex and/or consanguineous families suggestive of AD and AR inheritances.¹

The pathogenesis of CMC, first studied in PIDs with syndromic CMC, strongly suggested a role for IL-17A, IL-17F, and IL-22 in mucocutaneous immunity to *C. albicans*.¹ Patients with AD HIES, heterozygous for *STAT3* loss-of-function mutations, have low proportions of IL-17A, IL-17F, and IL-22-producing T cells *ex vivo* and *in vitro*.⁷⁻⁹ Patients with AR APS-1 and biallelic loss-of-function (LOF) mutations of *AIRE*¹⁰ have high plasma titers of neutralizing autoantibodies against IL-17A, IL-17F, and IL-22.^{11,12} Low proportions of circulating IL-17A, IL-17F, and IL-22-producing T cells have also been found in some patients with Mendelian susceptibility to mycobacterial disease (MSMD) and AR IL-12R β 1 or IL-12p40 deficiency, who may also develop CMC.^{8,13} Patients with AR *CARD9* deficiency and various invasive fungal diseases occasionally display CMC and low IL-17A

producing T-cell counts.^{4,14,15} These findings paved the way for the candidate gene-based discovery of AR IL-17 receptor A (IL-17RA), AR IL-17RC, AR ACT1, and AD IL-17F deficiencies in some patients with inherited CMCD.^{1,16-18} However, the genetic etiology of CMCD remained unknown for most patients, including those with low proportions of circulating IL-17A and IL-17F T cells.¹⁹

Genome-wide strategies have identified heterozygous *STAT1* gain-of-function (GOF) mutations^{20,21} as the underlying cause in about half of CMCD patients (depending on the centers). Among the 400 CMCD patients studied in the laboratory of the corresponding author (Necker & Rockefeller branches), approximately half of them carry *STAT1* GOF mutations (Puel A., unpublished data). These mutations affect the coiled-coil domain (CCD) or DNA-binding domain (DBD) of STAT1. They increase STAT1 phosphorylation by impairing nuclear dephosphorylation and are GOF for the STAT1-dependent cytokines IFN- α/β , IFN- γ , and IL-27, and STAT3-dependent IL-6 and IL-21.^{20,22-24} Impaired IL-17A- and/or IL-17F-producing T-cell development accounts, at least in part, for CMCD, but the underlying mechanisms remain elusive. Since 2011, 184 patients from 120 kindreds with *STAT1* GOF mutations have been described.^{20,21,23-59} Most reports have focused on the molecular and cellular defects of one or a small series of patients. This provides useful but incomplete clinical information. The comprehensive clinical features and outcomes of patients with *STAT1* GOF mutations remain undefined. We therefore undertook the detailed clinical analysis of an international cohort of 274 patients with genetically and biochemically confirmed *STAT1* GOF mutations. We collected information concerning demographic and clinical features, clinical outcome, preventive and curative treatments, and immunological and hematological investigations.

Methods

Study design, ethical concerns, definitions and methods for data collection, immunological and genetic analyses, as well as statistical analysis are described in the Supplemental Appendix.

Results

Genetic and immunological features

We studied 274 patients from 167 kindreds originating from 40 countries on five continents, most of whom were from Europe (62%) (Table S1 in the Supplement). M/F ratio was 1.03, and median age of the patients at the time of the study was 22 years (range: 1 – 71 years). In total, 167 of the 274 cases (61%) were familial (60 kindreds) (Table S1), the remaining 107 cases being sporadic (107 kindreds). Three of the sporadic cases had a relevant familial history of disease previously reported to be associated with CMCD (carcinoma, autoimmunity, or aneurysm) that could not be explored genetically. We identified 76 mutations in *STAT1* (Table S1); the mutation affected the CCD in 104 kindreds (62%), the DBD in 58 (35%) kindreds, the transactivation domain (TAD) in 2 (1%) kindreds, the N-ter domain (NTD) in 2 (1%) kindreds, and the SH2 domain (SH2D) in one (1%) kindred. All the 76 *STAT1* mutations were tested *in vitro* and were all found to be GOF in each functional assay tested (at least one assay per mutation).^{20,21,23,30,33,35,43,44,46-48} (unpublished data) Blood leukocyte subsets were analyzed in 232 patients (Table 1). Most patients did not display diagnostically relevant functional defects in immune parameters except low memory B cells (49% of the 53 patients tested) as well as low IgG2 (38%) or IgG4 (50%) levels. Abnormal immunological features were significantly associated with lower respiratory tract infections (LRIs) (i.e. low CD19⁺ ($p=0.02$) or CD4⁺ ($p=0.005$) cell subsets), viral infections (low proportions of CD19⁺ ($p<0.001$) or CD4⁺ ($p=0.009$) cell subsets), or mycobacterial infections (low proportions of CD19⁺ ($p=0.005$), or CD4⁺ ($p=0.005$) cell subsets, low IgG levels ($p<0.001$) without or

with weak antibody (Ab) production to protein antigens ($p < 0.001$). Regarding Th17 immunity, lower proportions than normal of *ex vivo* IL-17A-producing T cells and of IL-17A production, measured after 12 hrs of stimulation with phorbol 12-myristate 13-acetate (PMA)-ionomycin or *Candida* spp., were observed in 82% of 49 patients and 40% of 10 patients tested, respectively. Further details regarding genetic and immunologic features are described in the Supplemental Appendix.

Fungal infections

CMC was observed in 268 (98%) of the 274 individuals carrying *STAT1 GOF* mutations (Table S2), with a median age at onset of 1 year (range: birth – 24 years) (Figure 1A). None of the six patients without CMC (median age 32 years, range: 4 – 61 years) was totally asymptomatic. One had invasive fungal infection, five had invasive bacterial infections, four had hypothyroidism, one had a cerebral aneurysm. Three of them had a familial history of CMC. Mucocutaneous fungal infections mostly affected the oral mucosae (93% of patients, thrush, glossitis, and/or cheilitis) (Table 2). Skin (57%, pustules, annular plaques, intertrigo), esophageal/genital (56%), and/or nail (56%, onychia, perionychia) infections were also often reported (Table 2). Recurrent and/or severe aphthous stomatitis occurred in 125 (46%) patients, and candidiasis of the scalp occurred in 55 (20%) patients. *Candida* spp. were isolated from various specimens (skin, nails, throat, genital/esophageal mucosae) (Table 3), and *C. albicans* was the species most frequently isolated (95% of the 147 *Candida* isolates). Superficial dermatophytic infections of the scalp, skin or nails were suspected in 44 (16%) patients and were microbiologically confirmed (*Trichophyton* spp., *Microsporon* spp.) in 52% of these patients. Photographs of mucocutaneous fungal infections are found in Figure 2. Twenty-eight (10%) patients developed invasive fungal infections (Table 2). Ten patients suffered from invasive candidiasis, and fungal pneumonia was observed in 17 patients (*Pneumocystis jirovecii* ($n=6$), *Aspergillus* spp. ($n=5$), *Cryptococcus* spp. ($n=4$), and *Histoplasma* spp. ($n=2$)). Two

patients had fungal nephritis (*C. curvatus* and *Trichosporon asahii*), two patients suffered from cryptococcal meningitis, and three patients displayed disseminated fungal disease (*Coccidioides* spp. or Mucoraceae) (Table 3). Overall, although most patients had CMC, a substantial number (24%, cumulative) displayed various other superficial (17%) or invasive (10%) fungal diseases.

Bacterial infections

Bacterial infections were observed in 202 of the 274 patients studied (74%; Table 2 & Table S2). LRIs were reported in 129 patients (47%, Table 1) with often recurrent lobar pneumonia, bronchitis, and/or interstitial pneumonia. *Streptococcus pneumoniae* ($n=13$), *Pseudomonas aeruginosa* ($n=13$), *Haemophilus influenzae* ($n=9$), and *S. aureus* ($n=7$) were the most frequent pathogens (Table 3). Ear-nose-and-throat (ENT) infections were observed in 121 of the 274 patients (44%), mostly as recurrent or chronic sinusitis or otitis media. Recurrent conjunctivitis/keratitis were observed in 39 patients, and 15 patients had blepharitis or ectropion. Recurrent skin infections were found in 77 patients (28%) (Table 2). Folliculitis was the main skin infection, followed by cellulitis, abscesses, and paronychia. The causative agent was documented in 25% of cutaneous infections, with *S. aureus* isolated in most cases. Other probable bacterial infections included recurrent pyelonephritis ($n=8$), severe gastroenteritis ($n=8$), sepsis ($n=7$), or bone and joint infections ($n=3$). Seventeen patients (6%) developed mycobacterial diseases. Lung infections were due to *M. tuberculosis* ($n=3$) or non-tuberculous, environmental mycobacteria ($n=3$). Skin disease and adenitis were caused by Bacille Calmette-Guérin (BCG) vaccine or environmental mycobacteria ($n=5$), and disseminated disease by BCG ($n=2$), *M. tuberculosis* ($n=3$), or *M. genavensae* ($n=1$), Table 3. Two thirds of the patients were presumed to be vaccinated with BCG during childhood according to the global BCG vaccination policies.⁶⁰

Viral infections

One hundred three patients (of 274, 38%) developed at least one systemic or atypical viral infection, or recurrent mucocutaneous viral infections (Table 2 & Table S2). Recurrent mucocutaneous viral infections (rash, stomatitis, vulvitis or keratitis) affected 88 patients (32%). The main causal agents were Herpes Simplex and Varicella-Zoster viruses (Table 3). Eighteen patients (7%) had severe chickenpox (i.e. rash associated with VZV pneumonitis or cutaneous bacterial superinfection), and 33 (12%) had a history of shingles during childhood that was recurrent in 58% of cases. Thirty-two (12%) patients had recurrent *Molluscum contagiosum* or warts. Severe systemic infections were reported in 23 (8%) of the 274 patients: cytomegalovirus (CMV) and Epstein-Barr virus (EBV) were the viruses most frequently found (Table 3). Uncontrolled CMV viremia requiring antiviral treatment was observed for 8 patients. Six patients had CMV disease with proven organ infection, including one patient with retinitis, two with ulcerative digestive infections, and three with lung infections, one of whom also had encephalitis. One patient had a combined adenovirus and EBV disseminated infection (blood, lung and gut) requiring rituximab with partial efficacy (P234). Chronic active EBV infections ($n=10$) were less severe and did not require specific treatment. A few cases of severe HHV-6 and parvovirus ($n=4$) infection were described, resulting in severe sepsis or hemophagocytosis, and one patient developed BK-virus urinary tract infection with functional consequences. Two patients displayed severe disease due to live virus vaccines (small-pox and measles). Two patients had chronic hepatitis C infection leading to cirrhosis.

Autoimmune and inflammatory diseases

Clinical autoimmunity and/or autoimmune antibodies were documented in 118 (43%) of the 274 patients (Table 4 & Table S3). Median age was 24 years in this subpopulation (range: 3.5 years – 71 years) at the time of the study, and the M/F sex ratio was 0.79. One hundred one (37%) displayed clinical autoimmune manifestations, which represents a

much higher rate than the standard population (~3%),⁶¹ and 19% of these patients had more than one clinical autoimmune disorder. Most of these features were related to thyroid disease: hypothyroidism ($n=60$) requiring hormone substitution, and hyperthyroidism in one patient; 62% of these patients were women. Some patients displayed other autoimmune endocrine diseases, mainly type 1 diabetes mellitus ($n=11$). Cutaneous diseases were observed in 28 patients displaying vitiligo, alopecia, or psoriasis. Five female patients displayed systemic lupus erythematosus (SLE) with systemic features (i.e. vasculitis, serositis or antiphospholipid syndrome with a history of thrombo-embolism), and one patient had scleroderma. A few patients displayed pernicious anemia ($n=1$) or celiac disease ($n=4$) with specific autoantibodies (auto-Abs). Autoimmune hepatitis began before adulthood in six patients (67% being men). Hematologic autoimmunity ($n=11$, 73% of men) consisted of chronic hemolytic anemia or autoimmune thrombocytopenia during childhood. Two patients had ankylosing spondylitis, and one patient had multiple sclerosis. Six patients displayed inflammatory bowel disease including Crohn's disease ($n=2$), enteropathy with lymphocytic infiltration ($n=2$), and ulcerative colitis ($n=2$). Auto-Abs were found in 66 of the 157 patients tested (42%). Most patients with clinical autoimmunity tested were found positive for autoantibodies ($n=49/75$, 65%), mostly for anti-nuclear Abs ($n=18$), anti-thyroid Abs ($n=21$), Abs against other endocrine glands ($n=7$), and gastrointestinal Abs ($n=8$). Auto-Abs were also detected in the absence of clinical symptoms in 20% of the patients tested ($n=17/84$), mostly anti-nuclear Abs (76%) and anti-thyroid Abs (18%).

Other clinical features

Aneurysms ($n=17/274$, 6%; Table 4, Table S3 & Figure 2) occurred at a higher rate than the standard population (~3%)⁶² and were diagnosed at a median age of 23 years (range: 3 – 50 years), which is much younger than the standard population (~50 years).⁶² There was no difference between the sexes. Aneurysms tend to be more frequent in patients with

underlying autoimmunity ($p=0.05$), type I diabetes in particular (27% vs. 5%, $p<0.01$). Diagnosis was based on symptoms and radiologically confirmed in 15 patients. Systematic radiologic investigations identified two additional patients. Cerebral imaging was also carried out for 25 other asymptomatic patients and yielded normal results. Fifty-percent of symptomatic patients endured hemorrhages; the others had abdominal pain, and neurological manifestations, such as hemiplegia, seizures or attention lapses. Most aneurysms were located in the cerebral vascular system (82%). Most affected patients had multiple aneurysms in the CNS, mostly in the basilar trunk, vertebral arteries, and cerebral and intracranial carotid arteries. Extracerebral aneurysms were found in the abdominal aorta, iliac arteries, and lung arteries ($n=3$). Histological and microbiological investigations were performed in one patient (P27, Table S3) and were negative.⁴⁴ The other neurological features observed were cerebral vasculitis ($n=3$), epilepsy ($n=3$), polyneuropathy ($n=1$), multiple sclerosis ($n=1$), and hemiplegia of unknown origin ($n=1$). Cognitive disability was diagnosed in eight patients during childhood, a frequency slightly higher than that in the general population.⁶³ Fourteen patients had cutaneous, gastrointestinal, or laryngeal carcinoma, eleven of which were squamous cell carcinomas, one patient had melanoma, and another one acute leukemia, leading to an overall cancer rate of 5.8% (95% confidence interval 3.03%-8.57%) (Table 4). The M/F ratio was 1.0. We compared this cancer rate to that observed in the reference 2000 US Standard population.^{64,65} To account for the low median age of the *STAT1* GOF patients, we computed the expected cancer rate in the reference population as a weighted average of the age-specific cancer rates, in which the weights were the proportions of *STAT1* GOF patients in the corresponding age groups. The expected age-adjusted cancer rate in the reference population was found to be 1.1%, below the lower bound of the confidence interval of the rate in the population of patients studied here. This result supports that *STAT1* GOF patients may have an increased risk of cancer. Carcinoma were more frequent in patients with a history of esophagitis (10% vs. 2%, $p=0.002$). Two patients had benign tumors of vascular origin. Finally, other diseases, such

as asthma, eczema, and signs of allergy were observed in 54 patients (20%), a frequency similar to that found in the general population and without unusual severity.⁶⁶

Preventive and curative treatment

Two hundred two (of 274, 74%) patients needed long-term antifungal treatment, mostly systemic (Table 5). Fluconazole was the major agent used for first-line treatment, followed by itraconazole or posaconazole. Clinical resistance to at least one antifungal was observed in 78 patients of the 202 patients treated with long-term antifungal therapy (39%), and in 8 of the 53 patients treated intermittently (15%). Twenty-five treated patients displayed resistances to more than one antifungal (10%). Results for strain susceptibility were available for 40% of the patients with CMC resistant to treatment and revealed a high level of resistance to azoles (98%). Most of these patients required second- or third-line treatments, such as voriconazole, echinocandins, terbinafine or liposomal amphotericin B. Patients with clinical resistance to antifungals had globally a more severe phenotype (recurrent pneumonia: 51% vs. 25% $p<0.001$, systemic fungal infections: 17% vs. 7% $p=0.01$, mortality: 21% vs. 7%, $p=0.001$). Antibacterial prophylaxis was administered to 66 patients (of 274, 24%), for recurrent LRI or cutaneous staphylococcal disease; cotrimoxazole was the main agent used (Table 5). Thirty percent of patients with recurrent pneumonia received polyvalent IV IgG infusions (14% of all patients). One patient received GM-CSF infusions, interferon alpha, and then acitretin, with no major improvement of CMC or herpes virus infections. Five patients received G-CSF, leading to a marked improvement in CMC lesions in one patient only. One patient received IFN- γ from 6 to 15 years, with no detectable effect on CMC or LRIs. One patient (P116) was treated with JAK inhibitor Ruxolitinib that led to significant clinical improvement of CMC without complete clearance of the disease.⁵⁸ Allogeneic hematopoietic stem cell transplantation (HSCT) was performed in five patients with severe CMC and recurrent bacterial or systemic viral infections; three of them died several months after HSCT, one

from persistent hemophagocytic lymphohistiocytosis despite three HSCT (P157, phenotypically HLA-identical, cord blood transplantation, and haplo-identical HSCT), one from disseminated CMV at 30 years (P160, fully matched HSCT), and the other from interstitial lung disease at 2 years (P190, HLA-identical sibling HSCT). The other two are currently alive without serious complications (P161, cord blood transplantation from mismatched donor and P234, phenotypically HLA-identical HSCT). Six patients underwent immunosuppressive treatment for severe autoimmune disorders, and had a good clinical response, without serious infectious complications. One patient underwent radiotherapy for squamous cell carcinoma and recovered fully, and two others had rituximab for uncontrolled systemic EBV infection.

Clinical outcome

Thirty-three patients (of 274, 12%) failed to thrive (Table 4). Thirty-one patients (11%) developed secondary gastrointestinal complications, such as dysphagia ($n=19$) or esophageal stenosis ($n=12$). Most of them had a history of recurrent esophageal candidiasis ($n=30$, 97%). Bronchiectasis and cystic pulmonary lesions developed in 57 patients (of 274, 21%) (Table 4 & Figure 2), all with a previous history of recurrent pneumonia or bronchitis, and displaying acute secondary infectious episodes caused by *P. aeruginosa* ($n=11$ out of 57, 19%), *S. aureus* ($n=6$, 11%), non-tuberculous mycobacteria ($n=4$, 7%) or *Enterobacteriaceae* ($n=2$, 3%). One of these patients also had an associated pneumocyst, and three other patients underwent lobectomy. Thirty-four patients (of 274, 12%) died (Table 4 & Table S3) at a median age of 30 years (range: 1 – 58 years). The main causes of death were severe infections ($n=13$, 38%), i.e. disseminated BCG disease, histoplasmosis, coccidioidomycosis, CMV, *S. aureus* septicemia or bacterial LRI, at a median age of 17 years (range: 1 – 52 yrs), cancer ($n=8$, 24%), at a median age of 43 years (range: 33 – 58 yrs), and cerebral hemorrhage due to aneurysm ($n=5$, 15%), at a median age of 9 years (range: 4 – 34 yrs). Overall, cumulative survival rate at 60 years of age was significantly

lower (31%) in patients who developed invasive infection, cancer, and/or symptomatic aneurysm than those without (87%) any of these three complications (Figure 1B). Other causes of death possibly associated with *STAT1* GOF phenotypes included fulminant hepatitis (1 patient from an unknown cause, and 1 from autoimmune hepatitis), and complication of HSCT in three patients. Three patients died from unrelated causes, at the ages of 10, 46, and 50 years. Lymphocyte cell subset abnormality (low CD4+ and/or CD19+ lymphocyte counts) was associated with a higher mortality rate (19% vs. 6%, ($p=0.004$), and 25% vs. 7%, ($p<0.001$), respectively).

Discussion

We show here that CMC is the most common infectious manifestation in patients carrying *STAT1* GOF mutations, and is frequently resistant to azole treatments. Surprisingly, these patients often display viral, bacterial, and other fungal infections. In addition, these patients also develop various types of autoimmunity, as well as aneurysms and carcinomas, much more often than the general population. The presence of these latter complications accounts for a poor outcome. The penetrance of CMC is very high, as only six (2%) *STAT1* GOF patients (2.8% excluding all probands) never had CMC at a median age of 32 years. CMC typically begins in early childhood, although it may first present up to the third decade of life, and signs and symptoms varied within and between families.²⁰ In these patients, CMC is most likely the consequence of impaired IL-17A and IL-17F immunity,^{19-21,23,39,42,44} as it is also seen in patients with inborn errors of IL-17A/F immunity.¹⁶⁻¹⁸ Circulating IL-17A-producing T cells counts are low in most but not all patients tested (82%). Normal counts therefore cannot exclude a diagnosis of *STAT1-GOF*. The frequency of CMC in the cohort described here may be overestimated as a result of an ascertainment bias. Clearly, *STAT1* GOF mutations underlie biological phenomena that can cause markedly different phenotypes that are rarely mutually exclusive, as evidenced by CMC-free patients. Other fungal infections included mostly superficial dermatophytosis, without the deep dermatophytosis seen in some *CARD9*-deficient patients.^{14,67} Invasive infections by a variety of yeasts and moulds were observed in some patients.^{23,34,37,40} Cutaneous and bronchopulmonary infections caused by *S. aureus* were also observed, as in patients with AD-HIES. The occurrence of staphylococcal skin disease in some patients with CMCD and inborn errors of IL-17 immunity^{1,16,18} suggests the involvement of one or more IL-17 cytokines. Its occurrence in patients with auto-Abs against IL-6 suggests that impaired STAT3-dependent IL-6 signaling may also be involved.^{20,68,69} Respiratory tract infections may be favored by low IgA, IgG2, and/or IgG4 levels as well as a poor Ab response, as documented in some patients.^{70,71} The occurrence of mycobacterial and viral infections is

paradoxical, as bi-allelic LOF *STAT1* mutations underlie such infections by impairing IFN- γ and IFN- α/β responses, respectively while GOF *STAT1* mono-allelic mutations enhance signaling downstream of these cytokines.^{20,23,37,45,72} Viral disease might be due to exhaustion of virus-specific T cells, as described for patients with AD HIES,⁷³ and mycobacterial disease to refractory responses to IFN- γ .³⁷

Patients with GOF *STAT1* mutations also displayed autoimmunity, aneurysms, and malignancies. Autoimmunity is not observed in patients with inborn errors of IL-17A/F immunity or in patients with AD HIES. Other monogenic autoimmune disorders may shed more light on the pathogenesis of autoimmunity in patients with *STAT1* GOF mutations.⁷⁴ IPEX syndrome, caused by FOXP3 deficiency, underlies forms of autoimmunity that occasionally overlap with those in *STAT1* GOF patients.⁷⁴ *STAT1* GOF mutations however do not seem to affect FOXP3 expression and the development of Tregs.²³ The autoimmune features observed in patients with *STAT1* GOF mutations also overlap with those of APS-1 patients (with mutations in *AIRE*). In addition to CMC, APS-1 patients usually present hypoparathyroidism, adrenal insufficiency, and, occasionally, thyroiditis, autoimmune enteropathy, vitiligo, alopecia, diabetes, and hepatitis.^{3,75} Although the corresponding patients share autoimmune phenotypes, LOF *AIRE* and GOF *STAT1* mutations have not been mechanistically connected yet. The enhanced autoimmunity of patients with *STAT1* GOF mutations is likely to result from stronger IFN- α/β signaling, as some of these autoimmune features are observed in patients treated with recombinant IFN- α (e.g. thyroiditis) and in patients with type I interferonopathies (e.g. SLE).^{76,77} It remains unclear why the various inborn errors resulting in enhanced IFN- α/β immunity give rise to such diverse and only partially overlapping phenotypes.^{76,78}

The outcome of patients with *STAT1* GOF mutations is poor. Premature death (12%) results from infections (38%), aneurysms (15%), cancers (24%), and autoimmune hepatitis

(6%). Infections remain a major cause of premature death in these patients, with a high proportion of severe and/or recurrent LRI infections, leading to lung sequelae, viral, and invasive fungal infections.^{1,2} The proportion of patients with cerebral aneurysm has probably been underestimated, as radiological investigations were performed for only 42 patients, including 15 patients with clinical signs suggestive of aneurysm. Given the high morbidity and mortality of cerebral aneurysms, systematic radiological screening is probably warranted in all patients, when the diagnosis is made, and should be repeated during life. Aneurysms may form due to conjunctive tissue abnormalities, as in patients with STAT3 deficiency,⁷⁹ and/or impaired IL-17 immunity, as shown in animal models.⁸⁰ Their pathogenesis may also be autoimmune, as in cerebral vasculitis, or infectious, as in systemic *Candida* infection.¹ Patients with aneurysms did not differ markedly from the others in terms of invasive fungal infection rates ($p=0.6$), but they seemed to display more autoimmune disorders. Patients with an interferonopathy caused by *SAMHDI* mutations also display cerebral vasculitis and aneurysm.^{76,81} The frequency of skin and ENT carcinomas was high, and probably better estimated than that of cerebral aneurysm. These conditions are better understood and probably result, at least in part, from CMC and the chronic muco-cutaneous inflammation (especially esophagitis) it causes.⁸² Enhanced IFN production is unlikely to play a direct role, as these cytokines have antitumoral activity.⁸³ Patients with esophagitis and dysphagia should be regularly screened for tumor by sequential biopsies of the esophagus. Taken together, *STAT1* GOF-associated AD CMCD should not be considered benign and should be handled at centers with experience in the diagnosis and management of such patients.

The heterogeneity of clinical care for the patients in this cohort makes it difficult to issue uniform recommendations concerning optimal management. The high rate of CMC resistance to antifungal treatments is a major issue. Indeed, azole resistance necessitates the intravenous use of alternative antifungals (amphotericin B, echinocandins) that could lead

to toxicity and major lifestyle changes. Furthermore, as resistance to treatment is associated with severe infectious phenotype (systemic bacterial or fungal infections) and a poor outcome, these patients should be seen more frequently. However, long-term antifungal therapy remains the first line for CMC treatment, whereas antibiotic prophylaxis and IgG infusion should be considered for patients with recurrent LRIs, with or without detectable Ab deficiency. Second line therapies, such as GM-CSF and G-CSF treatments have been proposed as a way of enhancing IL-17 T-cell differentiation.⁸⁴⁻⁸⁶ However, despite an encouraging recent report,⁴¹ these adjuvant therapies were useful in only one of the five patients in which they were tried. Treatments targeting the JAK-STAT pathway, such as the JAK1/2 inhibitor ruxolitinib, which has been approved for myelofibrosis treatment, have shown significant clinical efficiency and might become the treatment of choice for severe CMC resistant to antifungals.^{30,58} HSCT was performed in five patients with severe and recurrent fungal and viral infections,²⁵ but three of them died. HSCT does not appear to be a viable option at the present time. However, pilot studies with closely-matched donors should be considered. Other potential immunotherapies such as recombinant IL-17A or IL-17F or inhibitors of STAT1 activity for specific use in patients with GOF *STAT1* mutations may prove more useful. IFN- α/β -blocking antibodies might also alleviate autoimmune features and may be considered in the future. The options must be weighed up carefully, bearing in mind the various anti-infectious, anti-tumor, and autoimmune effects of each cytokine or Ab. In conclusion, *STAT1* GOF mutations are the most common known genetic etiology of CMCD and are found in about half the patients studied.^{1,87} However, given the unexpected broad array of clinical diseases revealed by our current study, physicians should also evoke *STAT1* GOF in patients whose candidiasis is a minor, incidental finding, and even in patients without candidiasis. Disease severity results from the deleterious impact of CMCD on quality of life, and/or the poor outcome associated with infections, autoimmunity, aneurysm, and carcinoma.

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Authorship Contributions

JT and AP had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. JT, JLC, and AP did the scientific literature search and were responsible for the study design. All authors participated to the patients' inclusion and data collection. JT and AP centralized the data and JT undertook the data analysis. All authors interpreted the data. JT and AP created the figures, and JT, JLC and AP prepared the first draft of the report. All authors were involved in the writing and/or revision of the manuscript.

Disclosure of Conflicts of Interest

The authors have declared that no conflict of interest exists for this study.

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Tables

Table 1. Immunological investigations of patients with *STAT1* GOF mutations

Biological investigations	Patients tested	Normal	Low	High
	(n)	(%)	(%)	(%)
Total lymphocyte rate	232	81	19	-
CD4 ⁺ T lymphocytes	222	72	28	-
CD8 ⁺ T lymphocytes	222	83	16	1
CD19 ⁺ or CD20 ⁺ B lymphocytes	209	81	19	-
CD27 ⁺ CD19 ⁺ memory B lymphocytes	53	51	49	-
CD16 ⁺ CD56 ⁺ NK cells	158	73	25	2
CD3 ⁺ IL-17 ⁺ or CD4 ⁺ IL-17 ⁺ T lymphocytes*	49	18	82	-
CD4 ⁺ IFN γ ⁺ T lymphocytes [†]	13	69	31	-
T-cell proliferation (mitogen and/or antigen)	119	68	32	-
PBMC IL-17 production (pg/mL) [‡]	10	60	40	-
IgG levels (g/L)	229	77	3	20 [#]
IgA levels (g/L)	219	82	14	4
IgM levels (g/L)	221	100	-	-
IgG1 levels (g/L)	94	100	-	-
IgG2 levels (g/L)	94	62	38	-
IgG4 levels (g/L)	94	50	50	-
IgE levels (kIU/L)	125	97	-	3 [¶]
Antibodies against protein antigens [§]	111	77	23	-

* CD3⁺IL17⁺ lymphocyte normal range (% of CD3⁺): 0.7 – 1.7; CD4⁺IL17⁺ lymphocyte normal range: (% of CD4⁺): 6 – 22⁸⁸; † CD4⁺IFN γ ⁺ lymphocytes normal range (%): 6-18⁸⁸; ‡ IL-17 (PBMC stimulated with *Candida*) normal range: 900-5000 pg/mL⁸⁸; # IgG>15 g/dL; ¶IgE>140 kIU/L; § tetanus, diphteria toxoid, or poliovirus

Table 2. Sites of infection in patients with *STAT1* GOF mutations

Type of infections	Patients (%)
	<i>n</i>=274
Mucocutaneous fungal infections	268 (98)
Oropharyngeal mycosis	254 (93)
Cutaneous mycosis	155 (57)
Esophageal / genital mycosis	153 (56)
Onychomycosis	153 (56)
Aphtous stomatitis	125 (46)
Scalp mycosis	55 (20)
Invasive fungal infections	28 (10)
Invasive candidiasis	10 (4)
Other invasive infections	20 (7)
Bacterial infections*	202 (74)
LRI	129 (47)
ENT	121 (44)
Skin	77 (28)
Others†	24 (9)
Mycobacterial infections	17 (6)
Lung disease	6 (2)
Adenitis / skin disease	5 (2)
Disseminated disease	6 (2)
Viral infections*	103 (38)
Cutaneous	88 (32)
Systemic	23 (8)

LRI: Lower respiratory tract infection; ENT: ear, nose and throat; * Probable or proven bacterial / viral infection; † Severe acute gastroenteritis, septicemia, bone and joint infections, recurrent urinary tract infections.

Table 3. Documented pathogens associated with *STAT1* GOF mutations

Associated pathogens	Documented infections (%)
Mucocutaneous fungal infections	<i>n</i>=172
<i>Candida albicans</i>	140 (82)
<i>Dermatophytes</i> spp.	23 (13)
Others*	9 (5)
Invasive fungal infections	<i>n</i>=34
<i>Candida</i> spp.	10 (29)
<i>Pneumocystis jiroveci</i>	6 (18)
<i>Aspergillus</i> spp.	5 (15)
<i>Cryptococcus</i> spp.	6 (18)
Others†	7 (20)
Bacterial infections	<i>n</i>=99
<i>Staphylococcus aureus</i>	36 (36)
<i>Streptococcus</i> spp.	20 (20)
<i>Pseudomonas aeruginosa</i>	13 (13)
<i>Haemophilus influenzae</i>	9 (9)
Others‡	21 (21)
Mycobacterial infections	<i>n</i>=17
<i>M. tuberculosis</i>	6 (35)
<i>M. bovis</i> or BCG strain	5 (30)
Others¶	6 (35)
Viral infections[#]	<i>n</i>=162
Herpes simplex	44 (27)
Varicella-Zoster	51 (31)
<i>Molluscum contagiosum</i> /Warts	32 (12)
CMV or EBV	25 (15)
Others¶¶	10 (6)

Parasitic infections§

n=2

* *C. glabrata*, *C. tropicalis*, *C. kefir*, *C. membranaefacie*, *C. famata*, *D. dubliniensis* and *Malassezia furfur*;
† *Histoplasma*, *Trichosporon*, *Coccidioides*, *Apophysomyces*; ‡ *Enterobacteriaceae*, *Campylobacter* spp.,
Stenotrophomonas maltophilia, anaerobic pathogens, *Neisseria meningitides*; ¶ *M. avium*, *M. fortuitum*, *M.*
mucogenicum, *M. genavensae* or not identified; # Clinically probable or microbiologically confirmed; ¶¶
HHV6, *adenovirus*, *Parvovirus*, *BK virus*, *hepatitis C virus*, live virus vaccine disease; § Giardiasis, visceral
leishmaniasis

Table 4. Other clinical features and outcome of patients with *STAT1* GOF mutations

Non-infectious phenotypes	Patients (%)
	<i>n</i>=274
Autoimmunity/inflammatory disease	101 (37)
Thyroid disease	61 (22)
Other endocrine disease*	12 (4)
Skin disease†	28 (10)
Gastrointestinal disease‡	11 (4)
Autoimmune hepatitis	6 (2)
Autoimmune cytopenia [#]	11 (4)
Others¶	3 (1)
Aneurysm	17 (6)
Cerebral	14 (5)
Extracerebral	3 (1)
Tumor	17 (6)
Benign	2 (0.7)
Squamous cell carcinoma	11 (4)
Gastrointestinal carcinoma	2 (0.7)
Others§	3 (1)
Other clinical features	
Asthma / eczema	54 (20)
Bone fragility	5 (2)
Clinical outcome	
Failure to thrive	33 (12)
Dysphagia/ esophageal stenosis	31 (11)
Bronchiectasis	57 (21)
Death	34 (12)

* Diabetes mellitus, Addison's disease, GH deficiency; † SLE, vitiligo, psoriasis, alopecia, scleroderma; ‡ Biermer anemia, celiac disease, colitis; # Immunologic anemia or thrombocytopenia; ¶ Multiple sclerosis, ankylosing spondylitis; § Melanoma, basal cell carcinoma, acute lymphoblastic leukemia

Table 5. Treatments of patients with STAT1 GOF

Treatment	Patients (%) <i>n=274</i>
No antifungal treatment	19 (7)
Intermittent antifungal treatment	53 (19)
Current long-term antifungal treatment	202 (74)
Local treatment only	8 (3)
Oral fluconazole	150 (55)
Oral posaconazole / itraconazole	53 (19)
Oral voriconazole	19 (7)
I.V. echinocandins	6 (2)
Oral terbinafine	3 (1)
I.V. amphotericin B	7 (3)
Antibiotic prophylaxis	66 (24)
Cotrimoxazole	41 (15)
Macrolides	20 (7)
Others*	12 (4)
Antiviral prophylaxis	4 (1)
Polyvalent immunoglobulins	37 (14)
Immunotherapy †	8 (3)
Immunosuppressive therapies‡	6 (2)
Hematopoietic stem cell transplantation (HSCT)	5 (2)

* nebulized colimycin, topical fucidic acid, fluoroquinolone, tetracycline, amoxicillin; † GCSF/GM-CSF, interferon alpha/gamma, rituximab; ‡ cyclosporine, aziathoprine, corticoids, or mycophenolate mofetil

Figure legends

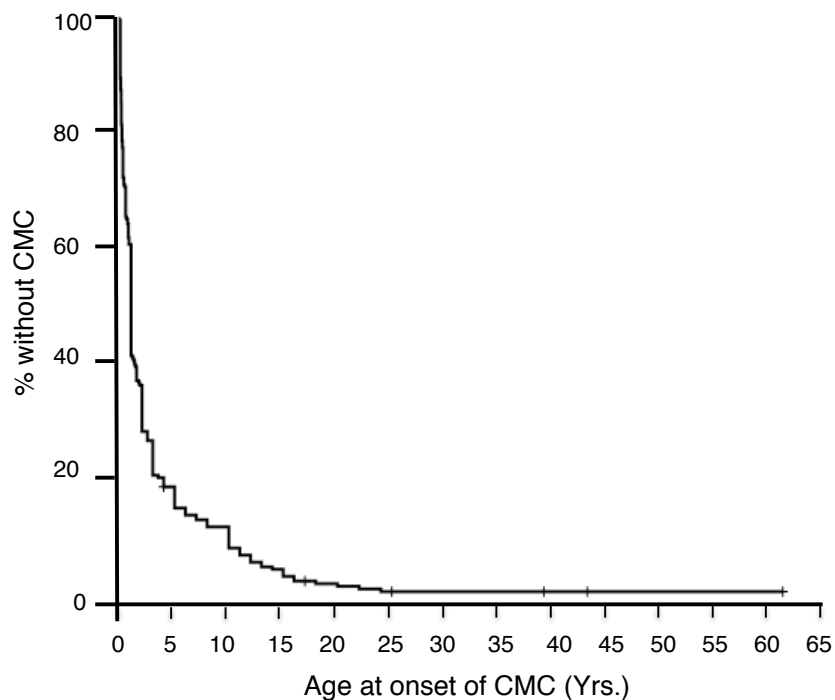
Figure 1. Kaplan-Meier curves for onset of CMCD and outcome.

(A) Onset of CMC. Exact age at onset of CMC was available for 253 patients. (B) Overall survival curves. Age at the time of the study was available for 269 patients. Patients with severe complications (i.e. patients who displayed invasive infections, aneurysms and/or tumors) were compared with patients without any severe complication (Log rank test, $p < 0.001$).

Figure 2. Photographs of patients with STAT1 GOF mutations.

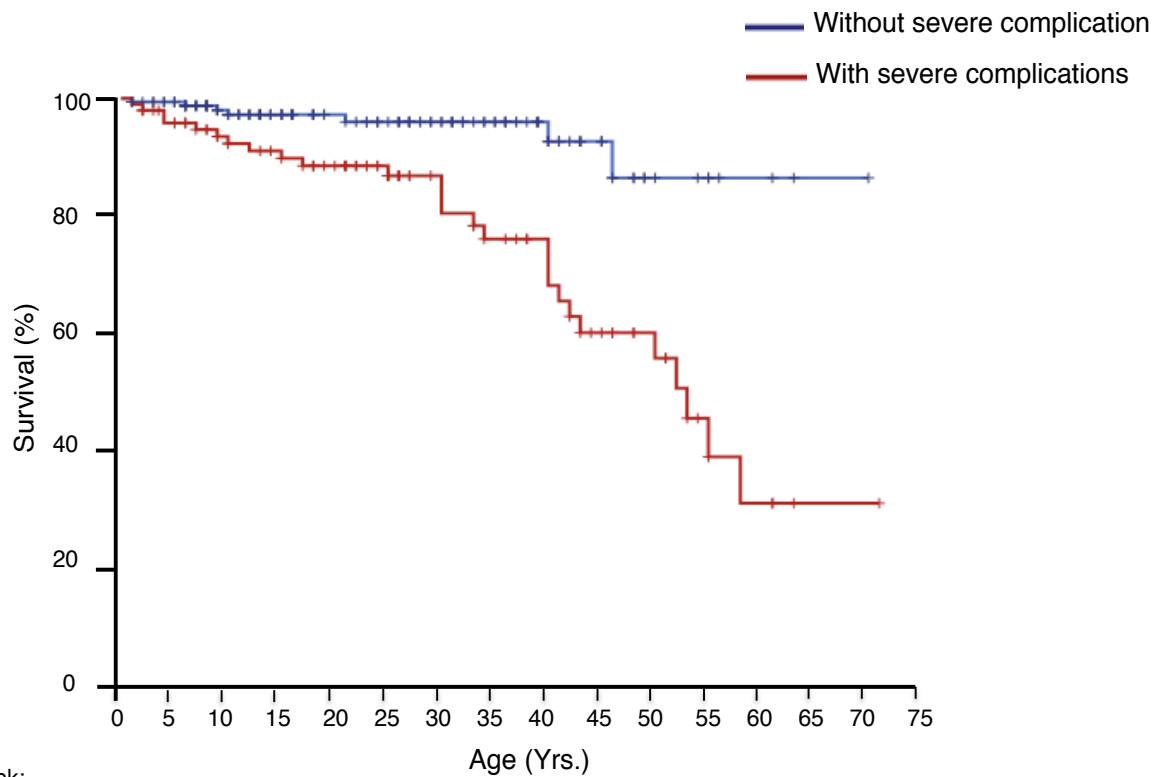
(A) Thrush. (B,C) Onychomycosis. (D,E,F) Cutaneous candidiasis. (G) Dermatophytes. (H) Cutaneous and genital candidiasis; (I) Cutaneous candidiasis. (J) Angular stomatitis. (K) Esophageal candidiasis. (L) Bronchiectasis. (M) Cerebral aneurysm. Complete phenotype of each patient is described in the Supplemental Tables S1-3.

A



Patients, n 253 40 23 11 6 3 3 3 2 1 1 1 1

B

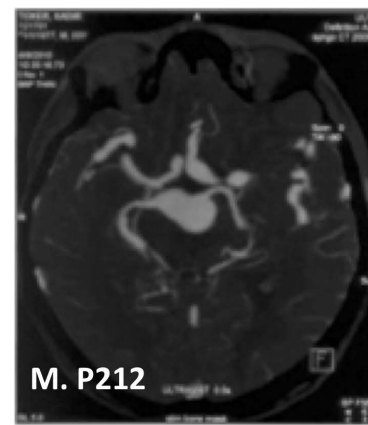
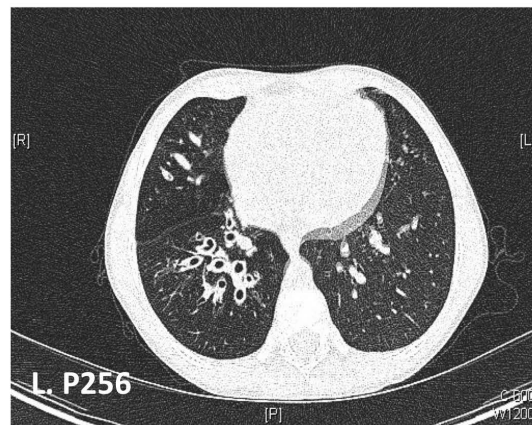
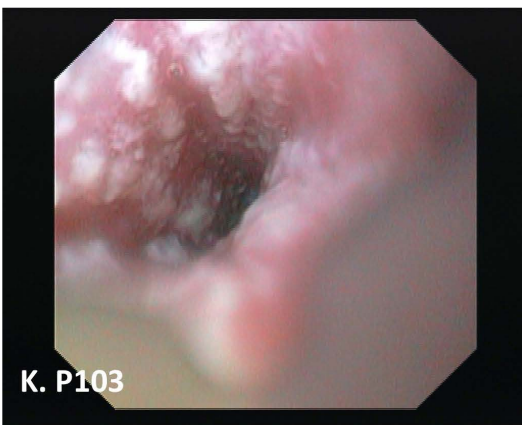
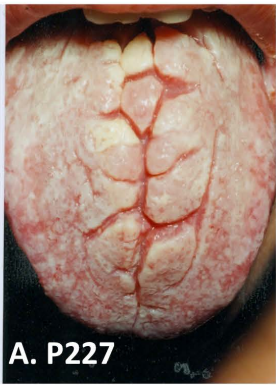


Number at risk:

Without complication	170	120	79	54	25	7	3	0
With complications	99	75	63	38	26	13	4	1

Figure 2

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Heterozygous STAT1 gain-of-function mutations underlie an unexpectedly broad clinical phenotype: an international survey of 274 patients from 167 kindreds

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