

PAPER • OPEN ACCESS

Heat transport considerations in the mathematical analysis of the photoacoustic and photothermal effects

To cite this article: E Gutiérrez-Reyes *et al* 2019 *J. Phys. Commun.* **3** 085007

View the [article online](#) for updates and enhancements.



PAPER

Heat transport considerations in the mathematical analysis of the photoacoustic and photothermal effects

OPEN ACCESS

RECEIVED
20 May 2019REVISED
19 July 2019ACCEPTED FOR PUBLICATION
31 July 2019PUBLISHED
13 August 2019

Original content from this work may be used under the terms of the [Creative Commons Attribution 3.0 licence](#).

Any further distribution of this work must maintain attribution to the author(s) and the title of the work, journal citation and DOI.

E Gutiérrez-Reyes^{1,5} , C García-Segundo², A García-Valenzuela², Roberto Ortega¹, Christian Buj³ and Frank Filbir⁴¹ CONACYT-Centro de Investigación Científica y de Educación Superior de Ensenada, Baja California, unidad la paz, Miraflores No. 334 e/Muleg y La Paz. C.P. 23050. La Paz, B.C.S. México² ICAT, Universidad Nacional Autónoma de México, AP 70-168, 04510 México DF, Mexico³ Universität zu Lübeck, Institute of Biomedical Optics, Lübeck, Germany⁴ Helmholtz Zentrum München, German Research Center for Environmental Health Scientific Computing Research Unit. Ingolstädter Landstraße 1, D-85764 Neuherberg, Germany⁵ Author to whom any Correspondence should be addressed.E-mail: edahigure@gmail.com

Keywords: heat transport, photoacoustic, thermoacoustic

Abstract

In this work, we solve the problem of modeling the generation of an acoustic pulse produced by the incidence of a pulsed laser light upon an elastic material. Our concern is about the heat transport during the absorption of electromagnetic radiation. We assume that the pulse duration is of the order of nanoseconds, and assess if under these conditions the contribution of the heat transport in the sample is an essential consideration in the description of the phenomena or if we can ignore it in the model. We begin with the energy balance analysis over the initial interaction of radiation with matter in the context of the formulation Meixner-Prigogine which is called the linear irreversible thermodynamics to describe the induced temperature field. Then we carry a momentum balance which yields the macroscopic elasticity equations with a heat source for the induced pressure field. Once established the equations for temperature and displacement fields, we solve them for the one-dimensional case, showing that the induced pressure has two components, one fast component and one slow component which is due to heat transport in the sample, which is one of the main contributions of the paper.

1. Introduction

Historically photoacoustic (PA) pulses have been generated by an optical source in two different ways, one called modulated mode and the other pulse mode configurations, the name indicates if the excitation is a periodic function in time, or a single pulsed excitation respectively. It was in the modulated mode that the phenomena of sound generation by an optical source was first discovered. This was mainly due to a periodic accumulation of thermal energy.

The advent of laser technology introduced a change in the way the photoacoustic pulses can be generated. Now it is possible to produce a light pulse of duration in the order of nanoseconds with high power, that is equally capable of producing observable photoacoustic pulses, this joined with new sensors technology of piezoelectric capacitors has enabled one to measure small photoacoustic signals in a broad range of frequencies.

But the underlying physics of the nature of both kind of photoacoustics pulses produced in pulsed mode or in modulated mode seemed to be different in fundamental ways. The resulting pressure wave is due mainly to thermal expansion. Therefore, as the thermal response of any material is slower than its elastic mechanical response, it should exist both a fast pressure pulse due to the mechanical response and a slow sound pulse due to the thermal response of the material. It is in this context that we reexamine the basic equations of thermoelasticity, and suggest the proper origin of the signals that are observed in the photoacoustic pulse

generation, dealing with the mathematical analysis of pulse generation in pulsed mode, to show the differences between the slow and fast mechanical perturbations, hereafter referred to as PT and PA components.

Due to the small duration of the pulses when are generated in pulsed mode, the mathematical analysis of this situation has relied on one fundamental assumption, called the stress and heat confinement hypothesis. This hypothesis permit us to analyze mathematically the acoustic pulse generation overlooking the thermal response of the material, and focusing only on the mechanical part. In this work, we also address the implications of this assumption and what information is lost or not under such an assumption. We show that the mathematical analysis can be carried out without using this hypothesis, but the thermal response of the material needs to be solved completely instead.

Since the time scale of these events is related to the time duration of the pulsed laser used as optical excitation source, then it is necessary to identify which are the main sources of heat transport during the phenomena at the interfaces to properly chose the boundary conditions of the modeling. A vast bibliography dealing with the mathematical analysis of these two modes of generating photoacoustic pulses can be found in the references [1–12].

A rather concise historical development of the photoacoustic effect, its sensing techniques, and outstanding applications can be found in the tutorial in [13].

We organize this paper as follows: Initially, we discuss the energy conversion from radiation into heat, the photothermal phenomenon. We describe the conversion of the local absorbed optical energy as the heat source that induces thermoelastic deformation. Then we analyze the balance equations for the heat and linear momentum, whose equations model the temperature field and the displacement field, that compose the deformed state. Then we solve the one-dimensional case as a testing example considering the case in which a beam of light is impinging on a flat metal slab. Then analyze how legitimate is the omission of heat transport in the model. It means that we discuss the conditions for ignoring the temperature field, which implies the incorporation of the converted electromagnetic radiation into heat directly into the momentum balance equation, which implicitly is neglecting the heat transport inside the absorber. This condition is the heat and stress confinement hypothesis.

It is shown that under the assumptions of the Meixner-Prigogine formulation of the linear irreversible thermodynamics, the heat is transferred outside the absorber mainly by electromagnetic radiation, modeled by the Stephan-Boltzmann law, which will establish Robin's boundary conditions of problem analyzed.

Once the solution for the thermal response is found, then we get the solution of the wave equation for the scalar velocity potential, which is related to the pressure field which corresponds to the mechanical part. This procedure is carried on through the method of the Green's functions.

For purposes of proof of consistency, we compute numerical calculations, based on previously reported cases [10, 14], where the heat transport is neglected, and then we compare with numeric calculations where the PT component is introduced.

2. Photo-thermal effect and thermo-elasticity

In this section, we derive the equation for the temperature field considering the conversion of electromagnetic radiation into heat which we call the photothermal (PT) effect. The absorption of energy from the external optical field follows the Beer–Lambert law [15], section 16.6.

$$q_z^{(r)}(z) = q_0 e^{-\alpha z}. \quad (1)$$

This law describes how the electromagnetic radiation is absorbed into the matter. Here $q_z^{(r)}$ is the density of the flux of energy in the z -direction, α is the absorption coefficient and q_0 is the incident energy flux upon the surface of the absorber. The current analysis is for linear elastic materials, and we are using the notation as in [16] for example. The electromagnetic energy is absorbed in the sample and accounts for a local increase of the internal energy of the sample.

Due to the small time scale of a duration of the phenomena, we need to justify some thermodynamic considerations regarding the generalizations of the second law of the thermodynamics for a non-equilibrium initial state as in [17, 18]. To avoid the complexity of using a microscopic description of the system, we use an approach called the Meixner-Prigogine formulation. This is called the linear irreversible thermodynamic, and consist of four hypothesis: (a) the extension of the local equilibrium; (b) the extension of the validity of the second law of thermodynamics to irreversible process; (c) the use of linear constitutive equations (Fourier law and other transport properties); and (d) the validity of the symmetry of the Onsager reciprocity relations. These conditions are assumed implicitly through the rest of the work [19]. These assumptions permit us to deal with thermodynamic quantities in a dynamical sense, i.e. that they depend on time.

For the current discussion, let us recall the Landau's [16] description for the heat propagation in an elastic solid, including his tensor notation. In this formalism, one can consider that the optically induced heat (per unit of time and unit volume), can be expressed as $T \frac{\partial S}{\partial t}$; where S is the entropy per unit volume. For the condensed phase, the energy lost by the radiation phase is gained by the condensed phase and assuming the emissivity $\mathcal{E} = 0$ and absorbance to be $\mathcal{A} = \alpha q_0 e^{-\alpha z}$, therefore

$$T \frac{\partial S}{\partial t} = -\nabla \cdot \vec{q} + \alpha q_0 e^{-\alpha z} f(t). \quad (2)$$

Here \vec{q} is the heat flux which units are watts per square meter $J/(s m^2)$, S has units of $J/(^{\circ}K m^3)$, q_0 is the electromagnetic energy flux with units $J/(s m^2)$, and α the absorption coefficient with units of m^{-1} , $f(t)$ is a rectangular function related to the time duration of the pulse. Further, we assume that the wave vector of the electromagnetic field is parallel with the z -axis. We also assume that the field begins to interact with the sample at $z = 0$, and the light absorption occurs from that point and up to L following the Beer–Lambert decay law. Being then that the sample's thickness L where the absorber ends abruptly.

In (2), q_0 defines the light's energy density at $z = 0$. It means that (2) describes the relationship between the transferred optical energy $\alpha q_0 e^{-\alpha z}$, the heat transport $\nabla \cdot \vec{q}$ and the increment in sample's local temperature T .

In the Landau's formalism [16], he gives the Helmholtz free energy for an elastic material when an increase in the temperature is present [16], equation (6.1),

$$F(T) = F_0(T) - K\beta(T - T_0)u_{||} + \mu \left(u_{ik} - \frac{1}{3} \delta_{ik} u_{||} \right)^2 + \frac{1}{2} K u_{||}^2. \quad (3)$$

Here $u_{||}$ is the trace of the strain tensor, K is the bulk modulus, μ is the shear modulus, β is the thermal expansion coefficient, and $F_0(T)$ is the initial free energy within the interaction volume, $u_{ik} = \frac{1}{2}(\partial_i u_k + \partial_k u_i)$ is the strain tensor, u_i is the displacement field and, δ_{ij} is the Krocnecker's delta.

From the well know thermodynamic relation, $S = -\frac{\partial F}{\partial T}$ we get the related entropy given by negative of the partial derivative of the free energy with respect to the temperature. After applying this to (3), we obtain that

$$S(T) = S_0(T) + K\beta u_{||}. \quad (4)$$

It implies that the increase of entropy is proportional to the increase in volume. We now require to describe the heat flux, \vec{q} , due to the temperature gradient, ∇T . In it we use the Fourier's law $\vec{q} = -\kappa \nabla T$, where κ is the thermal conductivity. Along with it, we substitute (4) in (2) to get,

$$T \frac{\partial S_0(T)}{\partial t} + K\beta T \frac{\partial u_{||}}{\partial t} = \kappa \nabla^2 T + \alpha q_0 e^{-\alpha z} f(t). \quad (5)$$

We recall that the heat capacities C_p and C_v fulfill the relationship [20], pg. 53,

$$C_p - C_v = K\beta^2 T, \quad (6)$$

where C_p and C_v are the specific heats of the elastic material at constant pressure and constant volume respectively. Substituting (6) in (5),

$$T \frac{\partial S_0(T)}{\partial t} + \frac{C_p - C_v}{\beta} \frac{\partial u_{||}}{\partial t} = \kappa \nabla^2 T + \alpha q_0 e^{-\alpha z} f(t). \quad (7)$$

Assuming that for the initial undeformed state there is no dilatation or contraction then $dS = \frac{dQ}{T} = \frac{C_v}{T} dT$. Because $S_0 = C_v \ln(T) + const$, then the temporal change of the initial entropy is

$$\frac{\partial S_0}{\partial t} = \frac{\partial S_0}{\partial T} \frac{\partial T}{\partial t} = \frac{C_v}{T} \frac{\partial T}{\partial t}. \quad (8)$$

after combining equations (7) and (8), we get that

$$C_v \frac{\partial T}{\partial t} + \frac{C_p - C_v}{\beta} \frac{\partial u_{||}}{\partial t} = \kappa \nabla^2 T + \alpha q_0 e^{-\alpha z} f(t). \quad (9)$$

Thus, from the balance of energy, we have arrived to the description of the optically induced temperature field which is the photothermal effect.

3. The momentum equation and the scalar velocity potential

Now independently we followed an approach similar to that introduced by Arias and D Achenbach [21] to obtain the equations that describe the displacement field of the thermoelastic expansion. This equation results from the application of the second Newton's law which is the linear momentum balance, and corresponds to the thermoelastic wave equation which accounts for the propagation of the elastic deformation field [11, 21, 22]

namely

$$\frac{\partial}{\partial x_k} \sigma_{ik} = \rho \ddot{u}_i. \quad (10)$$

Here σ_{ik} is the internal stress tensor, ρ is the medium density and \ddot{u}_i are the second time derivatives of the displacement components. The induced stress and pressure are calculated by the derivatives of the Free Helmholtz's energy with respect to each component of the deformation tensor [16], therefore it is given by

$$\sigma_{ik} = -K\beta(T - T_0)\delta_{ik} + 2\mu\left(\dot{u}_{ik} - \frac{1}{3}\delta_{ik}\dot{u}_{ll}\right) + Ku_{ll} \quad (11)$$

therefore equation (10) can be written as

$$\mu \nabla^2 \vec{u} + \left(K + \frac{1}{3}\mu\right) \nabla \nabla \cdot \vec{u} - \rho \frac{\partial^2 \vec{u}}{\partial t^2} = K\beta \nabla T. \quad (12)$$

Here equations (9) and (12), are central for the analysis. Notice that these form a set of coupled partial differential equations and with appropriate boundary conditions, describe the evolution of the optically induced temperature and displacement fields. The set of equations (9) and (12), correspond to the thermo-elasticity or PT equations [2, 21, 23]. We proceed to simplify the momentum equation, to be rewritten in terms of the scalar velocity potential function, ϕ_s . This is defined as $\vec{v} = \nabla \phi_s$ and $\vec{v} = \dot{\vec{u}}$. This velocity potential is related to the longitudinal modes and fulfills the wave equation,

$$\nabla^2 \phi_s - \frac{1}{c_l^2} \frac{\partial^2 \phi_s}{\partial t^2} = \frac{K\beta}{\rho c_l^2} \frac{\partial T}{\partial t}; \quad (13)$$

where $c_l^2 = \frac{(\frac{4}{3}\mu + K)}{\rho}$.

4. The small stress approximation: the photothermal equation

Prior to decouple equations (9) and (12), we notice that when no dissipative forces are included, the system is at a state of free thermal expansion (i.e. no external forces are applied) and no internal stresses can be induced.

Therefore $\sigma_{ik} = 0$ which implies that the trace of the strain tensor is simplified as $u_{ll} = \beta(T - T_0)$; more details can be found in [16], §6 equation (6.3). Therefore, after substituting $u_{ll} = \beta(T - T_0)$ in (9), we obtain

$$C_p \frac{\partial T}{\partial t} = \kappa \nabla^2 T + \alpha q_0 e^{-\alpha z} f(t). \quad (14)$$

This is the explicit form of the heat equation, with the heat source included, and decoupled from equation (12). Interestingly the heat source, related to the optically absorbed energy, preserves its analytic dependence with the Beer-Lambert law.

5. The thermo-elastic equation under the heat and stress confinement hypothesis

In this section, we derive the thermo-elastic equation under the hypothesis of heat and stress confinement, and compare this model with the complete description given by (13), which is our main contribution to remark their differences, namely that it is the time derivative of the temperature field which is the source of the acoustic waves. From equation (14), we replace the time partial derivative of the temperature field in equation (13), as a result, we get

$$\nabla^2 \phi_s - \frac{1}{c_l^2} \frac{\partial^2 \phi_s}{\partial t^2} = \frac{K\beta}{\rho c_l^2} \left(\frac{\kappa}{C_p} \nabla^2 T + \frac{\alpha}{C_p} q_0 e^{-\alpha z} f(t) \right). \quad (15)$$

It can be further expanded by noticing that $\frac{K}{\rho c_l^2} = \frac{1}{3} \left(\frac{1+\sigma}{1-\sigma} \right)$ where σ is the Poisson ratio.

With these considerations in mind, (15) turn out to be

$$\nabla^2 \phi_s - \frac{1}{c_l^2} \frac{\partial^2 \phi_s}{\partial t^2} = \frac{1}{3} \left(\frac{1+\sigma}{1-\sigma} \right) \beta \left(\frac{\kappa}{C_p} \nabla^2 T + \frac{\alpha}{C_p} q_0 e^{-\alpha z} f(t) \right). \quad (16)$$

Notice that the right hand term in equation (16) corresponds to the source of the longitudinal acoustic field represented by the speed potential ϕ_s , under propagation. In it, the first term is related to thermal diffusivity. After introducing the dimensionless variables $T' = \frac{T-T_0}{T_0}$ and $z' = \frac{z}{L}$ where T_0 is the reference temperature, and L is the width of a one-dimensional slab, the second factor of the right hand side of equation (16) can be written as $\frac{\kappa}{C_p} \frac{T_0}{L^2} \nabla'^2 T' + \frac{\alpha}{C_p} q_0 e^{-\alpha L z'} f(t)$, therefore the stress and thermal confinement condition is given by

$$\frac{\kappa T_0}{L^2} \ll \alpha q_0. \quad (17)$$

If this condition is met then one can ignore the term $\frac{\kappa}{C_p} \nabla^2 T$ in equation (16), assuming that heat diffusion is negligible during the excitation pulse [8]. For example in an aluminum sample we see that this condition is fulfilled, so we expect that in our calculation the non-heat transport approximation is a good approximation. In that case then equation (16) is reduced to

$$\nabla^2 \phi_s - \frac{1}{c_l^2} \frac{\partial^2 \phi_s}{\partial t^2} = \frac{1}{3} \left(\frac{1 + \sigma}{1 - \sigma} \right) \frac{\beta}{C_p} \alpha q_0 e^{-\alpha z f(t)}. \quad (18)$$

This is the well known PA wave equation for the scalar velocity potential [7, 10, 21]. A practical approximation for applications in elastic solids and human tissue is to assume $\sigma \approx \frac{1}{2}$. Additionally, if the sample does exhibit only hydrostatic compression and no resistance to shear then, $\mu = 0$ and therefore $\sigma = 1/2$. A noticeable example is biological tissue which is assumed that since it is $\sim 70\%$ water, this approximation can be applied since no transversal components propagate [8, 16, 24–26]. Hence, in the equation (18), $\frac{1}{3} \left(\frac{1 + \sigma}{1 - \sigma} \right) \sim 1$ and it can be simplified to obtain the general analytic expression for the PA wave as reported elsewhere in the literature [2, 3, 7, 10, 21].

$$\nabla^2 \phi_s - \frac{1}{c_l^2} \frac{\partial^2 \phi_s}{\partial t^2} = \frac{\beta}{C_p} \alpha q_0 e^{-\alpha z f(t)}, \quad (19)$$

where $\alpha q_0 e^{-\alpha z f(t)}$ is the volumetric density of energy per unit time that is absorbed in the sample through non-radiative decays; otherwise known as the PA source or heat function [2, 8–10].

In terms of pressure, p , such that $p = -\rho \frac{\partial \phi}{\partial t}$ and if in addition we introduce the Grüneisen number $\Gamma = \frac{\beta}{C_p} K$, which we assume that is independent of the frequency and temperature, but in general it is a function of frequency, ω , and temperature, [27], pg. 27, [11, 22, 28], then equation (16) can be rewritten as

$$\nabla^2 p - \frac{1}{c_l^2} \frac{\partial^2 p}{\partial t^2} = -\rho \frac{\Gamma}{K} \alpha q_0 e^{-\alpha z f'(t)}. \quad (20)$$

This expression is a good enough approximation for describing the presence of the PA signal alone. It works well for a large number of applications where the hypothesis of stress and heat confinement holds.

In all-optical PA sensing techniques, the PA and PT components can be sensed at once, depending on their relative magnitude and time lag due to the heat transport speed. The PT velocity depends on the thermal parameters κ, C_p . We will use a Robin's boundary condition that models the heat lost by electromagnetic radiation. Other boundary conditions will modify the rate of heat transport and the problem should be modified accordingly to the boundary conditions for heat transport.

In this way, we have obtained the equation (1) in the [14] and the equation (5) in [10]. The latter reference covers a review of the most common deduction (the non-heat-transport approximation). In [10] equation (19) is solved using the Green's function method, including a discussion where the pulses generated are interpreted when they are detected by piezoelectric capacitors.

6. Solution to the Heat equation

In this section, we proceed to solve the heat equation with a source associated with the incident light pulse. The setup of the simulation is as follows: at $t = 0$, we make a laser pulse to fall upon an aluminum slab of width L , during a time interval of $\Delta t = 80$ ns which corresponds to the pulse duration. This pulse will heat the aluminum slab producing a volume expansion results in a series of pressure pulses rebounds which are ideally measured at the end of the slab at $z = L$ and at the begin of the slab $z = 0$.

First we solve the heat equation (14), which solution is needed to calculate the source term of equation (13). We assume that the absorption occurs within the region $0 < z < L$, so we have that (13) can be written as

$$\nabla^2 T - \frac{1}{\nu} \frac{\partial}{\partial t} T = \begin{cases} -\frac{\alpha}{\kappa} q_0 e^{-\alpha z f(t)} & 0 < z < L \\ 0 & 0 < t < \Delta t \\ \text{otherwise.} & \end{cases} \quad (21)$$

Here $f(t) = 1$ if $0 < t < \Delta t$ and zero for other cases and represent the time modulation of the light intensity. Additionally, since we are focused on the one-dimensional problem, then $\nabla^2 T = \partial_{zz} T$. Finally, define $\nu = \frac{\kappa}{C_p}$, and then we proceed to solve (21) by applying the Fourier transform

$$T(z, \omega) = \int_{-\infty}^{\infty} T(z, t) e^{i\omega t} dt. \quad (22)$$

In the Fourier domain, for $0 < z < L$ and $0 < t < \Delta t$, (21) can be written as

$$\frac{\partial^2}{\partial z^2} T(z, \omega) + \frac{i\omega}{\nu} T(z, \omega) = -\frac{\alpha q_0}{\kappa} \frac{1}{i\omega} [e^{i\omega \Delta t} - 1] e^{-\alpha z}. \quad (23)$$

We also need boundary conditions for this problem. The absorber can interchange heat with the water in three ways, by radiation, convection, and conduction. Across the flat interface, the heat radiated as thermal radiation follows the Stefan-Boltzman law

$$dQ_R = \sigma_B (T_-^4 - T_+^4) d\sigma dt. \quad (24)$$

Here $d\sigma$ is the cross section of the absorber and dt the time elapsed which in principle and accordingly to the assumption of the Meixner-Prigogine formulation can be as small as we want, here $\sigma_B = 5.670\,367 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$ is the Stefan-Boltzmann constant, also, the sign $-$ refers to the left side and $+$ to the right side of the boundary respectively. The heat crossing the boundary toward the absorber due to thermal conductivity is

$$dQ_\kappa = (-\kappa_1 \partial_z T_- + \kappa_2 \partial_z T_+) d\sigma dt \quad (25)$$

where κ_1 the thermal conductivity of the absorber and κ_2 that of the surrounding water.

The heat passing to water by convection is

$$dQ_h = \tilde{h} (T_- - T_+) d\sigma dt. \quad (26)$$

Here \tilde{h} is the convection coefficient which depends on the fluid dynamics around the flat surface, nature of the fluid if it is a liquid or a gas, the orientation relative to the gravity or if changes of phase are occurring at the interface.

The first case we analyze is that in which we assume that there is no difference of temperature between the absorber and the water. This case happens when the water can change its temperature so fast as to follow the temperature of the absorber. Therefore by equations (24) and (26) there is no heat transport by radiation or convection. In that case, we have that $dQ_\kappa = 0$ implying the boundary condition is the continuity of $k \partial_z T$ and T across the interface. And also we need $T(z \rightarrow -\infty) = T(z \rightarrow \infty) = T_0$.

The other case is that when there exists a difference of temperature between the absorber and the water. This is the case when water cannot change its temperature as fast as that of the absorber.

We ask that no heat is not accumulated at the boundary, so we have that $dQ_R + dQ_h = dQ_\kappa$, and if the temperature increment is moderated then $(T^4 - T_0^4) \approx 4T_0^3 (T - T_0)$, therefore we arrive at Robin's boundary condition

$$\frac{\partial}{\partial n} T(z, \omega) + h(T(z, \omega) - T_0) = 0, \quad (27)$$

where n is the direction of the normal vector to the surface interface pointing outside the absorber, namely to $-z$ at the $z = 0$ plane and $+z$, at the $z = L$ plane. Also $h = (4\sigma_B T_0^3 + \tilde{h})/\kappa$ here $\sigma_B = 5.670\,367 \times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$ is the Stefan-Boltzmann constant, T_0 the water temperature, κ the thermal conductivity of the absorber. In what follows we assume that Robin's boundary condition is the one that better describes the heat transport outside the absorber, this assumption is justified by reason of the large water's heat capacity. Also as a consequence, this assumption is consistent with the implicit supposition that wave pressure is generated inside the absorber and not outside. Also if no convective currents are formed, then we can take the convection coefficient \tilde{h} as zero.

Therefore the general solution to equation (23) is,

$$\begin{aligned} T(z, \omega) &= T_0 \quad \text{for } z < 0, \\ T(z, \omega) &= a_2 e^{rz} + b_2 e^{-rz} + A_q e^{-\alpha z} \quad \text{for } 0 \leq z \leq L, \\ T(z, \omega) &= T_0 \quad \text{for } z > L. \end{aligned} \quad (28)$$

If we call $T_1 = a_2 e^{rz}$, $T_2 = b_2 e^{-rz}$, $T_3 = A_q e^{-\alpha z}$, the temperature is written as $T = T_1 + T_2 + T_3$. Here we defined $r = i\sqrt{\frac{i\omega}{\nu}} = i(\text{sign}(\omega) + i)\sqrt{\frac{|w|}{2\nu}}$. Numerically it is necessary to include the negative frequencies in the inverse Fourier transform, we believe this has to do with the irreversibility of the diffusion process. In the literature [29], r is known as the complex thermal wave number and $\sqrt{\frac{2\nu}{\omega}}$ is the thermal diffusion length. After substituting (28) in (23), it turns out that A_q is given by,

$$A_q = -\frac{\alpha q_0}{\kappa} \frac{1}{i\omega} \frac{[e^{i\omega \Delta t} - 1]}{\alpha^2 + i\frac{\omega}{\nu}}. \quad (29)$$

A relevant thermo-physic parameter for heating or cooling, is the so-called thermal effusivity [30]

$$\epsilon = \sqrt{\kappa C_p} = \frac{\kappa}{\sqrt{\nu}} = \sqrt{\nu} C_p$$

With $s^{1/2} \text{ m}^{-2} \text{ K}^{-1}$, also known as, thermal admittance' or, contact coefficient'. We introduce this parameter in equation (29), and obtain

$$A_q = -q_0 \frac{\alpha \kappa}{\alpha^2 \kappa^2 + i \omega \epsilon^2} \frac{1}{i \omega} [e^{i \omega \Delta t} - 1]. \quad (30)$$

We see therefore that the source amplitude of the temperature increment is a function of the parameter $\alpha \kappa$ and the square effusivity times the frequency acting as an imaginary part. The coefficients a, b are found by the Robin's boundary condition (see [31] p.74).

Applying the boundary condition (27) to (28) at $z = 0$ and $z = L$ we found out that the coefficients a, b are

$$a = -A_q [(h + \alpha)(h - r) - (h - \alpha)(h + r) \exp(-\alpha L + rL)] / \Delta, \quad (31)$$

$$b = -A_q [(h - r)(h - \alpha) \exp(-\alpha L + rL) - (h + r)(h + \alpha) \exp(2rL)] / \Delta, \quad (32)$$

where $\Delta = (h - r)^2 + (h + r)^2 \exp(2rL)$.

Finally we can find the time domain solution by applying the inverse Fourier transform, we used the method of discrete inverse fast Fourier transform. We note that it is the time derivative of the temperature field in the sample's volume which constitutes the source term of the pressure wave equation (13).

7. The induced photoacoustic pressure

In this next section, we solve equation (13) by using the Green's function method in this way we assure to fulfill the boundary conditions. In the Fourier domain, for the time coordinate, the Green's function for the scalar velocity potential fulfills the equation

$$\nabla^2 g(\vec{r}, \vec{r}', \omega) + \frac{\omega^2}{c^2} g(\vec{r}, \omega) = \delta(\vec{r} - \vec{r}'). \quad (33)$$

Here, we solve the one-dimensional case for the Green's function in a mixed Fourier representation $g(z, z', \omega)$, for a three layer system when the light source impinges on the sample at $z = 0$. Therefore we need to solve the wave equation for each medium consisting of water, metal, water:

$$\frac{\partial^2}{\partial z^2} g(z, \omega) + \frac{\omega^2}{c_1^2} g(z, \omega) = 0 \quad z < 0, \quad (34)$$

$$\frac{\partial^2}{\partial z^2} g(z, z', \omega) + \frac{\omega^2}{c_2^2} g(z, z', \omega) = \delta(z - z') \quad 0 < z \leq L, \quad (35)$$

$$\frac{\partial^2}{\partial z^2} g(z, z', \omega) + \frac{\omega^2}{c_3^2} g(z, z', \omega) = 0 \quad z > L. \quad (36)$$

The solutions for each interval are,

$$g(z, z', \omega) = A(z', \omega) e^{-ik_1 z} \quad z < 0, \quad (37)$$

$$g(z, z', \omega) = B(z', \omega) e^{ik_2 z} + C(z', \omega) e^{-ik_2 z} \quad 0 < z \leq z', \quad (38)$$

$$g(z, z', \omega) = D(z', \omega) e^{ik_2(z-z')} + E(z', \omega) e^{-ik_2(z-z')} \quad z' < z \leq L, \quad (39)$$

$$g(z, z', \omega) = F(z', \omega) e^{ik_3(z-L)} \quad z > L. \quad (40)$$

Then, the wave number in each region is $k_1 = \frac{\omega}{c_1}$, $k_2 = \frac{\omega}{c_2}$, $k_3 = \frac{\omega}{c_3}$, respectively. In turn, the coefficients A, B, C, D, E, F are found by imposing boundary conditions namely that the velocity and the pressure are continuous functions at the interfaces $z = 0$, and $z = L$. Additionally, we ask that:

1. At the singularity $z = z'$, the Green's function shall be a continuous function and,
2. The space derivative is such that $\partial_z g(z'^+, \omega) - \partial_z g(z'^-, \omega) = 1$, where z'^+ means approaching z' from the right-hand side, and z'^- , means to approach z' from the left-hand side.

By means of these boundary conditions we get that the coefficients A, F are:

$$A(z', \omega) = \frac{1}{ik_2} \frac{1}{\alpha_{12}^+} \frac{1}{(1 - R_{12} R_{32} e^{2ik_2 L})} (e^{ik_2 z'} + R_{32} e^{ik_2(2L-z')})$$

Table 1. Parameters used for calculations.

$\rho_{Al} = 2700 \text{ kg m}^{-3}$	Aluminum's density
$\rho_1 = 1000 \text{ kg m}^{-3}$	Water's density
$c_{Al} = 6450 \text{ m s}^{-1}$	Aluminum's sound velocity
$c_1 = 1484 \text{ m s}^{-1}$	Water's sound velocity
$L = 3 \cdot 10^{-3} \text{ m}$	Absorber's width
$C_p = 2457 \frac{\text{kJ}}{\text{km}^3}$	Aluminum's heat capacity
$\beta = 69 \cdot 10^{-6} \text{ K}^{-1}$	Aluminum's volumetric expansion coefficient
$\alpha = 1.4531 \cdot 10^8 \text{ m}^{-1}$	Aluminum's absorption coefficient
$\kappa = 205 \text{ W/(m K)}$	Aluminum's conductivity coefficient
$q_0 = 1.8 \cdot 10^8 \text{ J/m}^2$	Laser's fluency
$T_0 = 293.15^\circ\text{K}$	Reference Temperature
$\Delta T = 80 \text{ ns}$	Pulse time duration

$$F(z', \omega) = \frac{1}{ik_2} \frac{1}{\alpha_{32}^{\pm}} \frac{1}{(1 - R_{12}R_{32}e^{2ik_2L})} (e^{-ik_2z'} + R_{12}e^{ik_2z'}) e^{ik_2L}$$

with $R_{12} = \frac{\alpha_{12}^-}{\alpha_{12}^+}$, $R_{32} = \frac{\alpha_{32}^-}{\alpha_{32}^+}$, $\alpha_{12}^{\pm} = \left(\frac{\rho_1}{\rho_2} \pm \frac{k_1}{k_2} \right)$, $\alpha_{32}^{\pm} = \left(\frac{\rho_3}{\rho_2} \pm \frac{k_3}{k_2} \right)$; for further details, see [9, 10]. Therefore, if we use the relation between the scalar velocity potential and the pressure $p(\omega) = i\omega\rho_3\phi(\omega)$, we find that

$$p(z, \omega) = \omega^2\rho_1 \frac{K\beta}{\rho_2 c_2^2} \int_0^L g(z, z', \omega) T(z', \omega) dz'. \quad (41)$$

where $l = 1, 2, 3$ for each media respectively. The displacement field for $z < 0$ is calculated with the relationship $u_z(z, \omega) = ik_1 \frac{1}{i\omega\rho_1} p(z, \omega)$.

By representing the absorber's density as ρ_2 and since $T(z', \omega)$ and $g(z, z', \omega)$ are given by the equations (28) and (40), respectively, then the integral (41) can be evaluated analytically in closed form. It means that, for $z > L$,

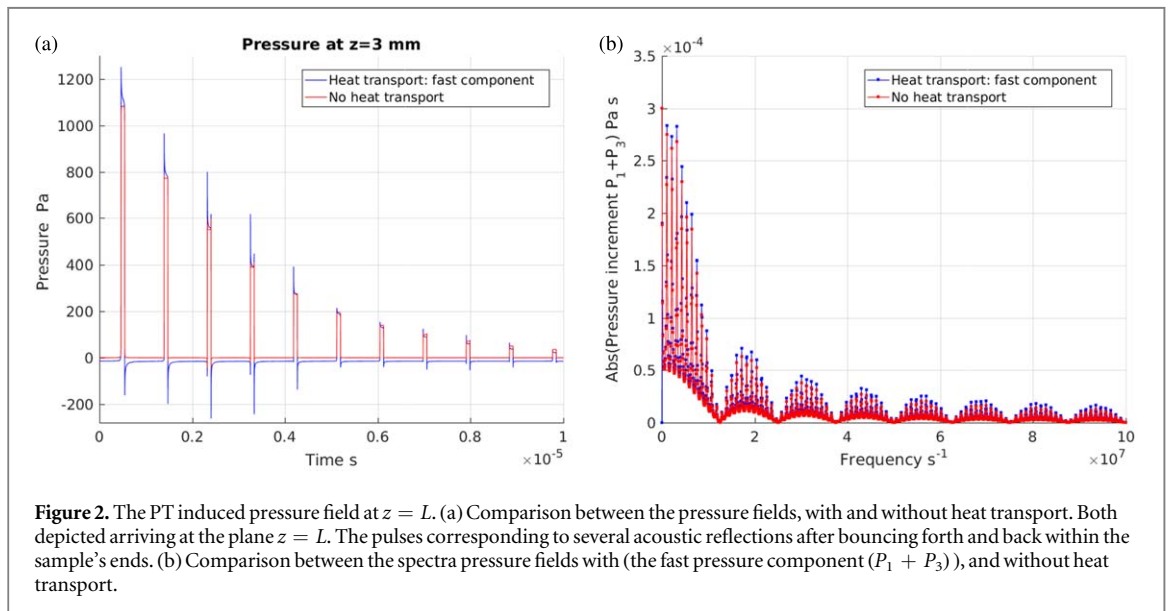
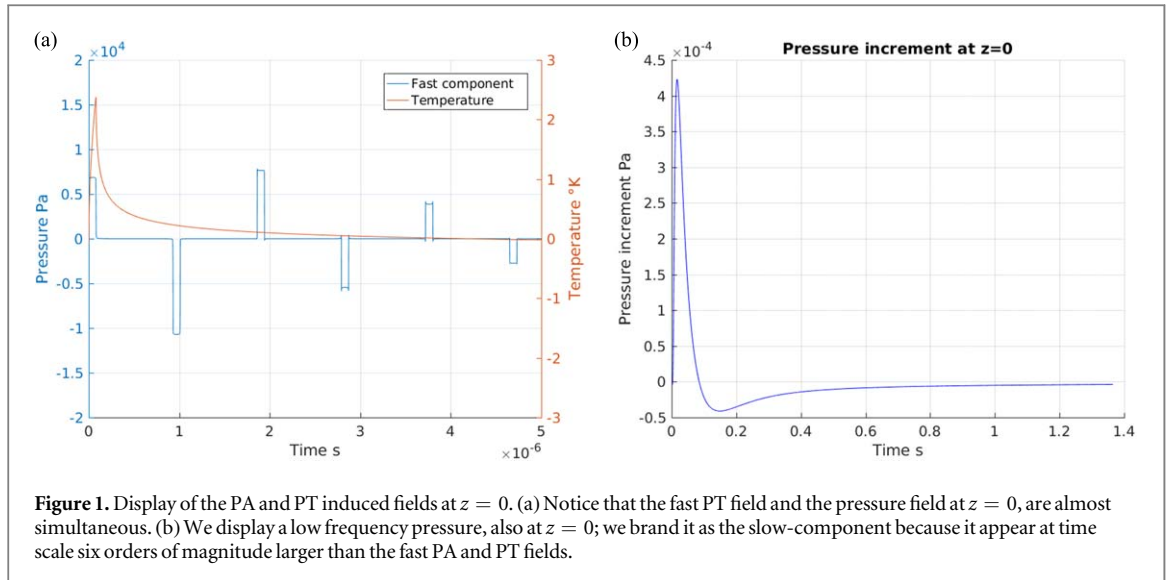
$$p(z, \omega) = \omega^2\rho_3 \frac{K\beta}{\rho_2 c_2^2} \frac{e^{ik_2L}}{2ik_2} T_{3M}(\omega) \sum_{j=1}^3 a_j \left(\frac{1}{\alpha_j - ik_2} (e^{L(\alpha_j - ik_2)} - 1) + R_{12} \frac{1}{\alpha_j + ik_2} (e^{L(\alpha_j + ik_2)} - 1) \right) e^{ik_3(z-L)}. \quad (42)$$

Here a_j represents the different amplitudes of the temperature field, with $j = 1, 2, 3$, that in (28), $a_1 = a$, $a_2 = b$ and $a_3 = A_q$. In turn α_j takes the values $\alpha_1 = r$, $\alpha_2 = -r$ and $\alpha_3 = -\alpha$, that correspond to three pressures $p = P_1 + P_2 + P_3$ respectively. r is the self consistent value for the exponential coefficient in e^{rz} , as condition to solve the heat equation in one dimension, in the frequency domain.

8. Numerical results

In this section we present the results for equations (28) and (42) which represent the temperature and the pressure increment fields for an 80 ns incident laser pulse upon a 3 mm aluminum slab. The parameters for the simulation are shown in table (1). For the Fourier inversion of the pressure field we use the fast Fourier transform algorithm. For the fast pulse we use an upper maximum frequency $W = (2\pi) 60.0 \cdot 10^7 \text{ rad s}^{-1}$, which corresponds to a discretization time of 1.66 ns. For the slow components of the acoustic field we use instead $W = (2\pi) 60.0 \cdot 10^2 \text{ rad s}^{-1}$ which corresponds to the discretization time of 166.66 μs .

In figure 1(a) we show the temperature increment upon the aluminum slab as a function of time, the aluminum interface is assumed to be at the origin of the reference system $z = 0$, the temperature shows an

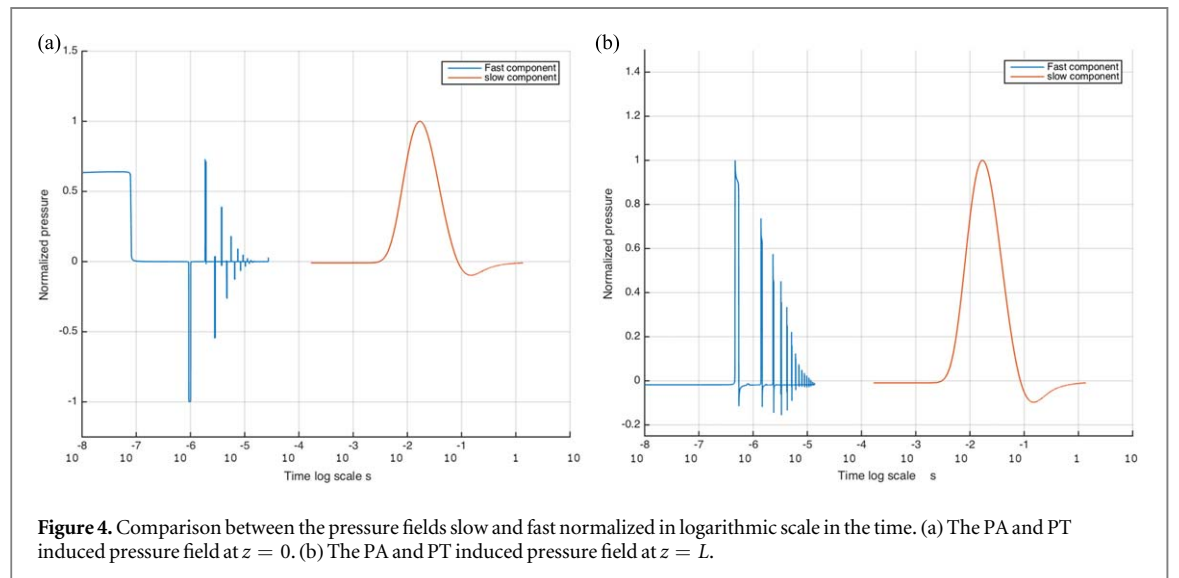
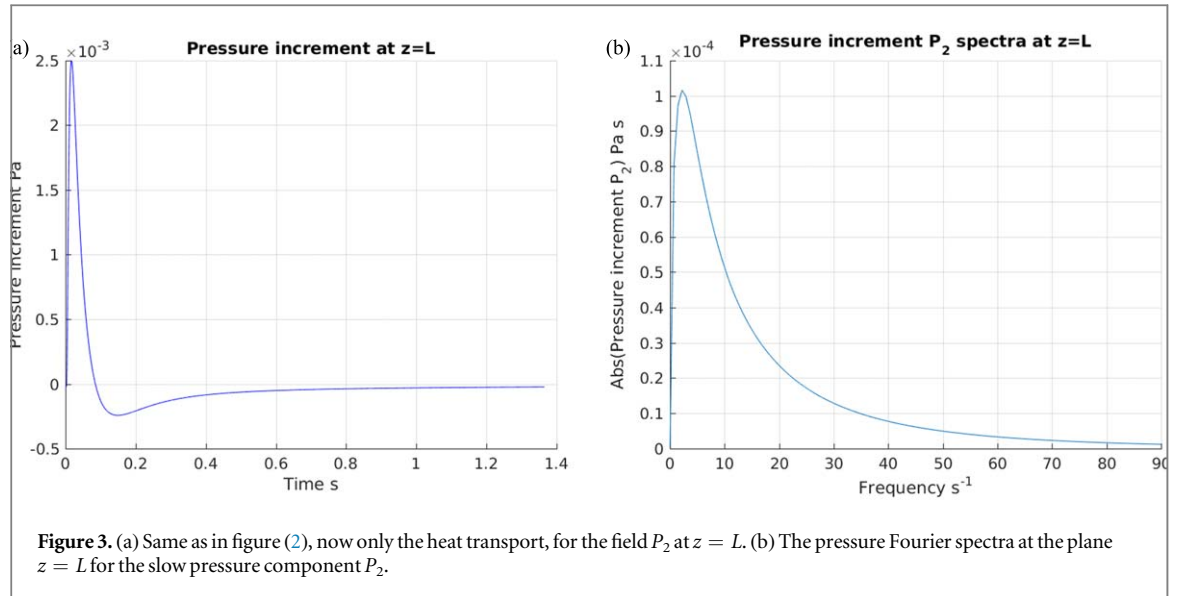


increase, that reverses with the laser's pulse turn off. There is a small offset in the temperature field figure 1(a) (red color), this due to the method we chose to obtain the inverse Fourier transform. This offset is an inherent problem associated with the fast Fourier algorithm applied to the inverse Fourier transform [32]. For the corresponding laser's fluency, we observe a temperature increase of nearly 2 Kelvin degrees in 80 ns.

From the analytic solution (28) we observe that we can decompose the temperature field in three terms, that we call T_1, T_2, T_3 . Associated with each temperature term we have a pressure field P_1, P_2, P_3 respectively. These pressure fields occur at different scales of time; being that the pressure fields P_1 and P_3 are of the order of 80 ns then these corresponds to the fast pressure pulse, we show $P_1 + P_3$ at $z = 0$ in figure 1(a) (blue color) the fast component of the pressure field, and on figure 1(b) the slow component P_2 of the pressure field. We note that the amplitude of the fast pulse is approximately 3.3×10^7 greater than the amplitude of the slow pulse.

In figure 2(a) we compare the fast component of the pressure field with the pressure field obtained with the non-heat transport model which for our parameters range verifies the condition for heat confinement as a good one; both models show multiple replicas of the initial pulse. The details of these results and the interpretation of their respective spectra figure 2(b) can be found in [10].

In figure 3(a) we display the pressure term P_2 and its Fourier spectra in figure 3(b). This plot is the most important results of this work because it shows that the non-heat transport model ignores a slow thermal pulse. This calculation shows that the magnitude of the fast pulse is seven orders of magnitude higher than the slow pulse.



Finally figure 4 shows the comparison in logarithmic scale of the fast pulse and its replicas both normalized with respect their maximum pressures, which shows that in a single pulse experiment, both fast and slow components are well distinguished showing each pulse in their proper time scales.

9. Conclusions

In this work, we have reexamined the basic equations for explaining the thermoelastic expansion that occurs in the process of generating photoacoustic pulses. The central question we place is about the validity of the hypothesis of heat confinement. We find that when we include heat transport in the modelling, the solution to the heat equation contains an additional source term, that is associated with a slow pressure pulse. This slow pulse is in the scale of milliseconds and has an amplitude several orders of magnitude shorter than the fast pressure component; whose pulse-width is within the nanoseconds scale, and corresponds to the time duration of the excitation laser pulse.

We conclude that if we are not interested in modeling the slow thermal response, then we can ignore the heat transport at least in the regime of the example we studied, due to the fact that its amplitude is much smaller than the mechanical pulse. However, one has to keep in mind the existence of a background low-frequency pressure-component.

Minding the material's physical and chemical properties, and the sensing method and configuration (transmittance or reflectance), we assess that this component cannot always be neglected [9, 12, 33–36].

The thermal confinement condition, as commonly stated, is expressed by inequality (17). The example we deal with, falls in this regime. Materials with different optical absorption, and also different thermal and mechanical impedances as stated here, may require to include the slow heat transport within the model considerations.

The current analysis shows that it is the time derivative of the temperature field which is the source of the acoustic waves. This is a vision that is lost if we ignore the heat transport in the model.

Another observation of the analysis is that the most reasonable boundary condition in the Meixner-Prigone formulation for the heat equation is that of a Robbin type. In it the main terms transporting heat are electromagnetic radiation given by the Stefan-Boltzmann law, and the thermal conductivity.

Also we interpret that the fast PA component is mainly related to the elastomechanical properties of the sample. These manifested when the sample is excited externally with the laser pulses. From the sample's transport properties it is known [2–4, 16, 37, 38] that the fast PA signal corresponds to the longitudinal propagation of the elastomechanical perturbation. In turn, the slow component, is related to the thermal diffusivity and to viscous and elastic properties of the sample.

The numerical solutions show that the pressure due to the low frequency thermal field is much smaller respect the PA component (7 orders of magnitude), and propagates diffusively at a lower speed than the PA component lagged four orders of magnitude with respect to the PA component. However, this is not a generalization, since this condition may change depending on the acoustic resonant coupling of the transversal mechanical components [9, 10, 13, 16].

Besides the complexity of the problem, we tried to keep the physical and mathematical sophistication to the minimum required, to asses how well the photoacoustic phenomena can be described in classical terms, avoiding the introduction of non-linear terms or even more realistic transport considerations than the ordinary Fourier law, showing that indeed our model can capture most of the main characteristics of the photoacoustic phenomena. Although the model we present can serve as a basic formalism on which more physics can be overlaid, it is far from capturing physical features reported in recent developments where is clear the impact of temperature distribution over the pulsed PA (or laser ultrasound) signal [12, 33, 34, 39–43].

Acknowledgments

The first author (EGR) is grateful to CONACYT-CICESE, unidad la Paz, within the program 'Cátedras de jóvenes investigadores' from Consejo Nacional de Ciencia y Tecnología (México). The author CGS acknowledges the partial support from the *programa PASPA-DGAPA* at UNAM, and also acknowledges the BMO Institute at the Lübeck University for their host on a sabbatical leave and also to Ralf Brinkmann.

Disclosures

The authors want also to state that there is no conflict of interest of commercial nature nor in the authorship or ownership of the content of this article.

ORCID iDs

E Gutiérrez-Reyes  <https://orcid.org/0000-0001-9065-4144>

References

- [1] White R M 1963 *J. Appl. Phys.* **34** 3559–67
- [2] Tam A 1986 *Rev. Mod. Phys.* **58** 381
- [3] Sell J A 1988 *Photothermal Investigations of Solids and Fluids* (London, U.K: Academic)
- [4] Scruby S and Drain L E 1990 *Laser Ultrasonics* (Bristol, U.K: CRC Press)
- [5] Joseph D and Preziosi L 1989 *Rev. Mod. Phys.* **61** 41–72
- [6] Salazar A 2006 *Eur. J. Phys.* **27** 1349–55
- [7] Herrerías-Azcué F, González-Vega A, Torres-Arenas J and Gutiérrez-Juárez G 2013 *Mod. Phys. Lett.* **27** 1350135
- [8] Xu M and Wang L V 2006 *Rev. Sci. Instrum.* **77** 0411011–22
- [9] Baddour N and Mandelis A 2015 *Elsevier Photoacoustics* **3** 132–42
- [10] Gutiérrez-Reyes E, García-Segundo C, García-Valenzuela A, Reyes-Ramírez B, Gutiérrez-Juárez G and Guadarrama-Santana A 2017 *AIP Adv.* **7** 065106
- [11] Dark M L, Perelman L T, Itzkan I, Schaffer J L and Feld M S 2000 *Phys. Med. Biol.* **45** 529–39
- [12] Buj C, Münter M, Schmarbeck B, Horstmann J, Hüttmann G and Brinkmann R 2017 *J. Biomed. Opt.* **22** 1–14
- [13] Zhou Y, Yao J and Wang L 2016 *Tutorial on Photoacoustic Tomography 21* (Journal of Biomedical Optics) 061007

- [14] Cywiak D, Barreiro-Argüelles M D, Cywiak M, Landa-Curiel A, García-Segundo C and Gutiérrez-Juárez G 2013 *Int. J. Thermophys.* **34** 1473–80
- [15] Bird R, Stewart W and Lightfoot E 2007 *Transport Phenomena* 2nd edn (New York, Inc.: Wiley)
- [16] Landau L D and Lifshitz E M 1970 *Theory of Elasticity* 2nd edn (Oxford: Pergamon)
- [17] Hasegawa H H, Ishikawa J, Takara K and Driebe D J 2010 *Phy. Lett. A* **374** 1001
- [18] Takara K, Hasegawa H H and Driebe D J 2010 *Phy. Lett. A* **375** 88
- [19] Scherer L G C and Menache P G 2003 *La Física de los procesos irreversibles tomo 1* (Colegio Nacional)
- [20] Landau L D and Lifshitz E M 1980 *Course of Theoretical Physics: Statistical Physics Vol 5 3rd edn* (Oxford: Pergamon)
- [21] Arias I and Achenbach J D 2003 *Int. J. Sol. Struct.* **40** 6917–35
- [22] Soroushian B, Whelan W M and Kolio M C 2011 Dynamics of laser induced thermoelastic expansion of native and coagulated ex-vivo soft tissue samples and their optical and thermomechanical properties *Proc. SPIE* **7899** 78990Z
- [23] White R M 1962 *IRE Trans. Instrum.* **1–11** 294–8
- [24] Mott P H and Roland C M 2009 *Phys. Rev. B* **80** 132104
- [25] Choi A P C and Zheng Y P 2005 *Med. Biol. Eng. Comput.* **43** 258–64
- [26] Tilleman T R, Tilleman M M and Neumann M H 2004 *IMAJ* **6** 753–5
- [27] Gruneisen E 1926 *Handbuch der Phys.* Vol 10 (Berlin: Springer)
- [28] Singh R S S K 1970 *Phys. Stat. Sol.* **39** 25–31
- [29] Marín E 2007 *Eur. J. Phys.* **28** 429–45
- [30] Marín E 2006 *The Physics Teacher* **44** 432–4
- [31] Sommerfeld A 1949 *Partial Differential equations in Physics* (New York: Academic Press Inc. Publishers)
- [32] Brandstein A G and Marx E 1976 *Numerical Fourier Transform* (Springfield: National Technical information Service US Department of Commerce)
- [33] Wissmeyer G, Pleitez M A, Rosenthal A and Ntziachristos V 2018 *Light: Science and Applications* **7** 1–16
- [34] Ke H, Tai S and Wang L V 2014 *J. Biomed. Optics* **19** 026003
- [35] Ansari R, Zhang E Z, Desjardins A E and Beard P C 2018 *J. Biomed. Opt.* **7** 75
- [36] Lee C, Kim J Y and Kim C 2018 *Micromachines* **9** 584
- [37] Gusev V E, Karabutov A A and Hendzel K S 1993 *Laser Optoacoustics* (New York: AIP Press)
- [38] Schoonover R W and Anastasio M A 2011 *J. Opt. Soc. Am. A Opt. Image Sci. Vis.* **28** 2091–9
- [39] García-Segundo C, Villagrán-Muniz M, Muhl S and Connerade J P 2010 *J. Phys. D: Appl. Phys.* **43** 255403
- [40] Shah J, Park S, Aglyamov S R, Larson T, Ma L, Sokolov K V, Johnston K P, Milner T E and Emelianov S Y 2008 *J. Biomed. Opt.* **13** 1–9
- [41] Pramanik M and Wang L V 2009 *J. Biomed. Opt.* **14** 054024 1–7
- [42] Engel S, Wenisch C, Müller F A and Gräf S 2016 *Meas. Sci. Technol.* **27** 045202
- [43] Rodríguez-Matus M, Garcia-Segundo C and Connerade J P 2019 (arXiv:1904.02109 [cond-mat.mes-hall])